# [***81 FR 50485***](https://advance.lexis.com/api/document?collection=administrative-codes&id=urn:contentItem:5KC5-8CW0-006W-852S-00000-00&context=)

Vol. 81, No. 147, Monday, August 1, 2016

Notices

**Reporter**

81 FR 50485 \*

***Federal Register* > *2016* > *August* > *Monday, August 1, 2016* > *Notices* > *DEPARTMENT OF EDUCATION -- Office of Special Education and Rehabilitative Services (OSERS)***

**Title:** **Applications for New Awards; Rehabilitation Services Administration--Disability Innovation Fund--Transition Work-Based Learning Model Demonstrations**

**Action:**  Notice.

**Agency**

DEPARTMENT OF EDUCATION > Office of Special Education and Rehabilitative Services (OSERS)

**Synopsis**

**Overview Information**

*Rehabilitation Services Administration--Disability Innovation Fund--Transition Work-Based Learning Model Demonstrations*

Notice inviting applications for new awards for fiscal year (FY) 2016.

Catalog of Federal Domestic Assistance (CFDA) Number: 84.421B.

**Text**

If you use a TDD or a TTY, call the Federal Relay Service, toll free, at 1-800-877-8339.

**VIII. Other Information**

*Accessible Format:* Individuals with disabilities can obtain this document and a copy of the application package in an accessible format (*e.g.,* braille, large print, audiotape, or compact disc) on request to the program contact person listed under **For Further Information Contact** in section VII of this notice.

*Electronic Access to This Document:* The official version of this document is the document published in the **Federal Register** . Free Internet access to the official edition of the **Federal Register** and the Code of Federal ***Regulations*** is available via the Federal Digital System at: [*www.gpo.gov/fdsys*](http://www.gpo.gov/fdsys)*.* At this site you can view this document, as well as all other documents of this Department published in the **Federal Register**, in text or PDF. To use PDF you must have Adobe Acrobat Reader, which is available free at the site.

You may also access documents of the Department published in the **Federal Register** by using the article search feature at: [*www.federalregister.gov*](http://www.federalregister.gov)*.* Specifically, through the advanced search feature at this site, you can limit your search to documents published by the Department.

Dated: July 26, 2016.

**Sue Swenson,**

*Acting Assistant Secretary for Special Education and Rehabilitative Services.*

[FR Doc. 2016-18030 Filed 7-29-16; 8:45 am]

BILLING CODE 4000-01-P

**Dates**

**DATES:**

*Applications Available:* August 1, 2016.

*Date of Pre-Application Webinar:* August 4, 2016.

*Deadline for Transmittal of Applications:* September 6, 2016.

**Full Text of Announcement**

**I. Funding Opportunity Description**

*Purpose of Program:* The purpose of the Disability Innovation Fund (DIF) Program, as provided by the Consolidated Appropriations Act, 2015 (Pub. L. 113-235), is to support innovative activities aimed at improving the outcomes of "individuals with disabilities," as defined in section 7(20)(A) of the Rehabilitation Act of 1973, as amended (Rehabilitation Act) [*(29 U.S.C. 705*](https://advance.lexis.com/api/document?collection=statutes-legislation&id=urn:contentItem:4YF7-GSF1-NRF4-429W-00000-00&context=)(20)(A)).

*Priorities:* This notice includes one absolute priority and two ***competitive*** preference priorities. These priorities are from the notice of final priorities, requirements, and definition (NFP) for this ***competition***, published elsewhere in this issue of the **Federal Register** .

*Absolute Priority:* For FY 2016 and any subsequent year in which we make awards from the list of unfunded applications from this ***competition***, this priority is an absolute priority. Under an absolute priority, we consider only applications that meet the priority (34 CFR 75.105(c)(3)).

This priority is:

*Absolute Priority--Transition Work-Based Learning Model Demonstrations.*

**Note:** The full text of the absolute priority is included in the NFP for this ***competition***, published elsewhere in this issue of the **Federal Register** .

***Competitive*** *Preference Priorities:* For FY 2016 and any subsequent year in which we make awards from the list of unfunded applications from this ***competition***, these priorities are ***competitive*** preference priorities. Under 34 CFR 75.105(c)(2)(i) we will award two additional points for ***Competitive*** Preference Priority 1 and up to five additional points for ***Competitive*** Preference Priority 2 to an application, depending on how well the application meets these ***competitive*** preference priorities.

***Competitive*** *Preference Priority 1--Evidence of Promise Supporting the Proposed Model.*

***Competitive*** *Preference Priority 2--Project Evaluation Designed to Meet the What Works Clearinghouse Evidence Standards.*

**Note:** The full text of the ***competitive*** preference priorities is included in the NFP for this ***competition***, published elsewhere in this issue of the **Federal Register** .

*Requirements*

The project requirements for this ***competition*** are from the NFP for this ***competition***, published elsewhere in this issue of the **Federal Register**, and are in effect for FY 2016 and any subsequent year in which we make awards from the list of unfunded applications from this ***competition***. The full text of the requirements is included in the NFP.

*Definitions*

The following definitions are from the Workforce Innovation and Opportunity Act (WIOA), the Rehabilitation Act, 34 CFR part 77, and the NFP. The source of each definition is noted following the text of the definition.

*Career pathway* means a combination of rigorous and high-quality education, training, and other services that--

(a) Aligns with the skill needs of industries in the economy of the State or regional economy involved;

(b) Prepares an individual to be successful in any of a full range of **[\*50486]** secondary or postsecondary education options, including apprenticeships registered under the Act of August 16, 1937 (commonly known as the "National Apprenticeship Act"; *50 Stat. 664,* chapter 663; [*29 U.S.C. 50*](https://advance.lexis.com/api/document?collection=statutes-legislation&id=urn:contentItem:4YF7-GPH1-NRF4-44J5-00000-00&context=) *et seq.*);

(c) Includes counseling to support an individual in achieving the individual's education and career goals;

(d) Includes, as appropriate, education offered concurrently with and in the same context as workforce preparation activities and training for a specific occupation or occupational cluster;

(e) Organizes education, training, and other services to meet the particular needs of an individual in a manner that accelerates the educational and career advancement of the individual to the extent practicable;

(f) Enables an individual to attain a secondary school diploma or its recognized equivalent and at least one recognized postsecondary credential; and

(g) Helps an individual enter or advance within a specific occupation or occupational cluster.

*Source:* Section 3(7) of WIOA.

***Competitive*** *integrated employment* means work that is performed on a full-time or part-time basis (including self-employment)--

(a) For which an individual--

(1) Is compensated at a rate that--

(i)(A) Is not less than the higher of the rate specified in section 6(a)(1) of the Fair Labor Standards Act of 1938 [*(29 U.S.C. 206*](https://advance.lexis.com/api/document?collection=statutes-legislation&id=urn:contentItem:4YF7-GVG1-NRF4-41RN-00000-00&context=)(a)(1)) or the rate specified in the applicable State or local minimum wage law; and

(B) Is not less than the customary rate paid by the employer for the same or similar work performed by other employees who are not individuals with disabilities and who are similarly situated in similar occupations by the same employer and who have similar training, experience, and skills; or

(ii) In the case of an individual who is self-employed, yields an income that is comparable to the income received by other individuals who are not individuals with disabilities and who are self-employed in similar occupations or on similar tasks and who have similar training, experience, and skills; and

(2) Is eligible for the level of benefits provided to other employees;

(b) That is at a location where the employee interacts with other persons who are not individuals with disabilities (not including supervisory personnel or individuals who are providing services to such employee) to the same extent that individuals who are not individuals with disabilities and who are in comparable positions interact with other persons; and

(c) That, as appropriate, presents opportunities for advancement that are similar to those for other employees who are not individuals with disabilities and who have similar positions.

*Source:* Section 7(5) of the Rehabilitation Act.

*Customized employment* means ***competitive*** integrated employment, for an individual with a significant disability, that is based on an individualized determination of the strengths, needs, and interests of the individual with a significant disability, is designed to meet the specific disabilities of the individual with a significant disability and the business needs of the employer, and is carried out through flexible strategies, such as--

(A) Job exploration by the individual;

(B) Working with an employer to facilitate placement including--

(i) Customizing a job description based on current employer needs or on previously unidentified and unmet employer needs;

(ii) Developing a set of job duties, a work schedule and job arrangement, and specifics of supervision (including performance evaluation and review), and determining a job location;

(iii) Representation by a professional chosen by the individual, or self-representation of the individual, in working with an employer to facilitate placement; and

(iv) Providing services and supports at the job location.

*Source:* Section 7(7) of the Rehabilitation Act.

*Evidence of promise* means there is empirical evidence to support the theoretical linkage(s) between at least one critical component and at least one relevant outcome presented in the logic model for the proposed process, product, strategy, or practice. Specifically, evidence of promise means the conditions in both paragraphs (i) and (ii) of this definition are met:

(i) There is at least one study that is a--

(A) Correlational study with statistical controls for selection bias;

(B) Quasi-experimental design study that meets the What Works Clearinghouse Evidence Standards with reservations; or

(C) Randomized controlled trial that meets the What Works Clearinghouse Evidence Standards with or without reservations.

(ii) The study referenced in paragraph (i) of this definition found a statistically significant or substantively important (defined as a difference of 0.25 standard deviations or larger) favorable association between at least one critical component and one relevant outcome presented in the logic model for the proposed process, product, strategy, or practice.

*Source:* 34 CFR 77.1(c).

*Independent evaluation* means an evaluation that is designed and carried out independent of and external to the grantee but in coordination with any employees of the grantee who develop a process, product, strategy, or practice that is currently being implemented as part of the grant's activities.

*Source:* NFP.

*Individual with a disability* means an individual who--

(a) Has a physical or mental impairment that for such individual constitutes or results in a substantial impediment to employment; and

(b) Can benefit in terms of an employment outcome from vocational rehabilitation services provided pursuant to Title I, III, or VI of the Rehabilitation Act.

*Source:* Section 7(20) of the Rehabilitation Act.

*Logic model* (also referred to as theory of action) means a well-specified conceptual framework that identifies key components of the proposed process, product, strategy, or practice (*i.e.,* the active "ingredients" that are hypothesized to be critical to achieving the relevant outcomes) and describes the relationships among the key components and outcomes, theoretically and operationally.

*Source:* 34 CFR 77.1(c).

*Pre-employment transition services* means services provided in accordance with section 113 of the Rehabilitation Act.

*Source:* Sections 7(30) and 113 of the Rehabilitation Act.

*Quasi-experimental design study* means a study using a design that attempts to approximate an experimental design by identifying a comparison group that is similar to the treatment group in important respects. These studies, depending on design and implementation, can meet What Works Clearinghouse Evidence Standards with reservations (but not What Works Clearinghouse Evidence Standards without reservations).

*Source:* 34 CFR 77.1(c).

*Randomized controlled trial* means a study that employs random assignment of, for example, students, teachers, classrooms, schools, or districts to receive the intervention being evaluated (the treatment group) or not to receive the intervention (the control group). The estimated effectiveness of the intervention is the difference between **[\*50487]** the average outcomes for the treatment group and for the control group. These studies, depending on design and implementation, can meet What Works Clearinghouse Evidence Standards without reservations.

*Source:* 34 CFR 77.1(c).

*Relevant outcome* means the student outcome(s) (or the ultimate outcome if not related to students) the proposed process, product, strategy, or practice is designed to improve, consistent with the specific goals of a program.

*Source:* 34 CFR 77.1(c).

*Strong theory* means a rationale for the proposed process, product, strategy, or practice that includes a logic model.

*Source:* 34 CFR 77.1(c).

*Student with a disability* means an individual with a disability who--

(A)(1) Is not younger than the earliest age for the provision of transition services under section 614(d)(1)(A)(i)(VIII) of the Individuals with Disabilities Education Act [*(20 U.S.C. 1414*](https://advance.lexis.com/api/document?collection=statutes-legislation&id=urn:contentItem:4YF7-GST1-NRF4-40TT-00000-00&context=)(d)(1)(A)(i)(VIII)); or

(2) If the State involved elects to use a lower minimum age for receipt of pre-employment transition services under the Rehabilitation Act, is not younger than that minimum age; and

(B)(1) Is not older than 21 years of age; or

(2) If the State law for the State provides for a higher maximum age for receipt of services under the Individuals with Disabilities Education Act [*(20 U.S.C. 1400*](https://advance.lexis.com/api/document?collection=statutes-legislation&id=urn:contentItem:4YF7-GNB1-NRF4-4325-00000-00&context=) *et seq.*), is not older than that maximum age; and

(C)(1) Is eligible for, and receiving, special education or related services under Part B of the Individuals with Disabilities Education Act [*(20 U.S.C. 1411*](https://advance.lexis.com/api/document?collection=statutes-legislation&id=urn:contentItem:4YF7-GVM1-NRF4-43R5-00000-00&context=) *et seq.*); or

(2) Is an individual with a disability, for purposes of section 504 of the Rehabilitation Act.

*Source:* Section 7(37)(A) of the Rehabilitation Act.

*Supported employment* means ***competitive*** integrated employment, including customized employment, or employment in an integrated work setting in which individuals are working on a short-term basis toward ***competitive*** integrated employment, that is individualized and customized consistent with the strengths, abilities, interests, and informed choice of the individuals involved, for individuals with the most significant disabilities--

(A)(i) For whom ***competitive*** integrated employment has not historically occurred; or

(ii) For whom ***competitive*** integrated employment has been interrupted or intermittent as a result of a significant disability; and

(B) Who, because of the nature and severity of their disability, need intensive supported employment services and extended services after the transition described in section (7)(13)(C) of the Rehabilitation Act, in order to perform the work involved.

*Source:* Section 7(38) of the Rehabilitation Act.

*What Works Clearinghouse Evidence Standards* means the standards set forth in the What Works Clearinghouse Procedures and Standards Handbook (Version 3.0, March 2014), which can be found at the following link: [*http://ies.ed.gov/ncee/wwc/DocumentSum.aspx?sid=19*](http://ies.ed.gov/ncee/wwc/DocumentSum.aspx?sid=19).

*Source:* 34 CFR 77.1(c).

**Program Authority:**  Consolidated Appropriations Act, 2015 (Pub. L. 113-235).

*Applicable* ***Regulations****:* (a) The Education Department General Administrative ***Regulations*** (EDGAR) in 34 CFR parts 75, 77, 79, 81, 82, 84, 86, 97, 98, and 99. (b) The Office of Management and Budget Guidelines to Agencies on Governmentwide Debarment and Suspension (Nonprocurement) in 2 CFR part 180, as adopted and amended as ***regulations*** of the Department in 2 CFR part 3485. (c) The Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards in 2 CFR part 200, as adopted and amended as ***regulations*** of the Department in 2 CFR part 3474. (d) The ***regulations*** for this program in 34 CFR part 386. (e) The NFP for this ***competition***, published elsewhere in this issue of the **Federal Register**

**II. Award Information**

*Type of Award:* Discretionary grants negotiated as cooperative agreements.

*Estimated Available Funds:* $ 30,000,000.

Contingent upon the availability of funds and the quality of applications, we may make additional awards in FY 2017 from the list of unfunded applications from this ***competition***.

*Estimated Range of Awards:* $ 800,000-$ 1,800,000.

**Note:** The Department estimates a wide range of awards given the potentially large differences in the scope of funded projects, including the number of students served and the intensity of services provided, the number of local sites where the proposed model will be implemented, and the scope and rigor of the proposed evaluation, particularly for those projects implementing proposals that met the requirements of ***Competitive*** Preference Priority 2.

*Maximum Award:* We will reject any application that proposes a budget exceeding $ 1,800,000 (for applications that meet ***Competitive*** Preference Priority 2) or $ 1,000,000 (for all other applications) for a single budget period of 12 months.

*Estimated Number of Awards:* 4-7.

**Note:** The Department is not bound by any estimates in this notice.

*Project Period:* Up to 60 months. Applicants under this ***competition*** are required to provide detailed budget information for each of the five years of this project and for the total grant.

**III. Eligibility Information**

1. *Eligible Applicants:* State Vocational Rehabilitation Agencies.

2. *Cost Sharing or Matching:* This ***competition*** does not require cost sharing or matching.

**IV. Application and Submission Information**

1. *Address to Request Application Package:* You can obtain an application package via the Internet or from the Education Publications Center (ED Pubs). To obtain a copy via the Internet, use the following address: [*www.ed.gov/fund/grant/apply/grantapps/index.html*](http://www.ed.gov/fund/grant/apply/grantapps/index.html)*.*

To obtain a copy from ED Pubs, write, fax, or call: ED Pubs, U.S. Department of Education, P.O. Box 22207, Alexandria, VA 22304. Telephone, toll free: 1-877-433-7827. FAX: (703) 605-6794. If you use a telecommunications device for the deaf (TDD) or a text telephone (TTY), call, toll free: 1-877-576-7734.

You can contact ED Pubs at its Web site, also: [*www.EDPubs.gov*](http://www.EDPubs.gov) or at its email address: [*edpubs@inet.ed.gov*](mailto:edpubs@inet.ed.gov)*.*

If you request an application package from ED Pubs, be sure to identify this ***competition*** as follows: CFDA number 84.421B.

Individuals with disabilities can obtain a copy of the application package in an accessible format (*e.g.,* braille, large print, audiotape, or compact disc) by contacting the person listed under *Accessible Format* in section VIII of this notice.

2. *Content and Form of Application Submission:* Requirements concerning the content and form of an application, together with the forms you must submit, are in the application package for this ***competition***.

Page Limit: The application narrative (Part III of the application) is where you, the applicant, address the selection criteria that reviewers use to evaluate your application. Because of the limited time available to review applications and make a recommendation for funding, we strongly encourage applicants to limit the application narrative to no more than 75 pages, using the following standards: **[\*50488]**

* A "page" is 8.5" x 11", on one side only, with 1" margins at the top, bottom, and both sides.

1. Double space (no more than three lines per vertical inch) all text in the application narrative, including titles, headings, footnotes, quotations, references, and captions, as well as all text in charts, tables, figures, and graphs.
2. Use a font that is either 12 point or larger or no smaller than 10 pitch (characters per inch).
3. Use one of the following fonts: Times New Roman, Courier, Courier New, or Arial. An application submitted in any other font (including Times Roman or Arial Narrow) will not be accepted.

In addition to the page-limit guidance on the application narrative section, we recommend that you adhere to the following page limits, using the standards listed above: (1) The abstract should be no more than one page, (2) the resumes of key personnel should be no more than two pages per person, and (3) the bibliography should be no more than three pages. The only optional materials that will be accepted are letters of support. Please note that our reviewers are not required to read optional materials.

Please note that any funded applicant's application abstract will be made available to the public.

3. *Submission Dates and Times:*

*Applications Available:* August 1, 2016.

*Date of Pre-Application Webinar:* Interested parties are invited to participate in a pre-application Webinar. The pre-application Webinar with staff from the Department will be held at 2:00 p.m., Washington DC time, on Thursday, August 4, 2016. The Webinar will be recorded. For further information about the pre-application Webinar, contact the person listed under **For Further Information Contact** in section VII of this notice.

*Deadline for Transmittal of Applications:* September 6, 2016.

Applications for grants under this ***competition*** must be submitted electronically using the *Grants.gov* Apply site (*Grants.gov*). For information (including dates and times) about how to submit your application electronically, or in paper format by mail or hand delivery if you qualify for an exception to the electronic submission requirement, please refer to *Other Submission Requirements* in section IV of this notice.

We do not consider an application that does not comply with the deadline requirements.

Individuals with disabilities who need an accommodation or auxiliary aid in connection with the application process should contact the person listed under **For Further Information Contact** in section VII of this notice. If the Department provides an accommodation or auxiliary aid to an individual with a disability in connection with the application process, the individual's application remains subject to all other requirements and limitations in this notice.

4. *Intergovernmental Review:* This ***competition*** is subject to Executive Order 12372 and the ***regulations*** in 34 CFR part 79. However, under 34 CFR 79.8(a), we waive intergovernmental review in order to make awards by the end of FY 2016.

5. *Funding Restrictions:* We reference ***regulations*** outlining funding restrictions in the *Applicable* ***Regulations*** section of this notice.

6. *Data Universal Numbering System Number, Taxpayer Identification Number, and System for Award Management:* To do business with the Department of Education, you must--

a. Have a Data Universal Numbering System (DUNS) number and a Taxpayer Identification Number (TIN);

b. Register both your DUNS number and TIN with the System for Award Management (SAM), the Government's primary registrant database;

c. Provide your DUNS number and TIN on your application; and

d. Maintain an active SAM registration with current information while your application is under review by the Department and, if you are awarded a grant, during the project period.

You can obtain a DUNS number from Dun and Bradstreet at the following Web site: [*http://fedgov.dnb.com/webform*](http://fedgov.dnb.com/webform)*.* A DUNS number can be created within one to two business days.

If you are a corporate entity, agency, institution, or organization, you can obtain a TIN from the Internal Revenue Service. If you are an individual, you can obtain a TIN from the Internal Revenue Service or the Social Security Administration. If you need a new TIN, please allow two to five weeks for your TIN to become active.

The SAM registration process can take approximately seven business days, but may take upwards of several weeks, depending on the completeness and accuracy of the data you enter into the SAM database. Thus, if you think you might want to apply for Federal financial assistance under a program administered by the Department, please allow sufficient time to obtain and register your DUNS number and TIN. We strongly recommend that you register early.

**Note:** Once your SAM registration is active, it may be 24 to 48 hours before you can access the information in, and submit an application through, *Grants.gov*.

If you are currently registered with SAM, you may not need to make any changes. However, please make certain that the TIN associated with your DUNS number is correct. Also note that you will need to update your registration annually. This may take three or more business days.

Information about SAM is available at [*www.SAM.gov*](http://www.SAM.gov)*.* To further assist you with obtaining and registering your DUNS number and TIN in SAM or updating your existing SAM account, we have prepared a *SAM.gov* Tip Sheet, which you can find at: *www2.ed.gov/fund/grant/apply/sam-faqs.html.*

In addition, if you are submitting your application via *Grants.gov*, you must (1) be designated by your organization as an Authorized Organization Representative (AOR); and (2) register yourself with *Grants.gov* as an AOR. Details on these steps are outlined at the following *Grants.gov* Web page: [*www.grants.gov/web/grants/register.html*](http://www.grants.gov/web/grants/register.html)*.*

7. *Other Submission Requirements:* Applications for grants under this ***competition*** must be submitted electronically unless you qualify for an exception to this requirement in accordance with the instructions in this section.

a. *Electronic Submission of Applications.*

Applications for grants under the Transition Work-Based Learning Model Demonstrations, CFDA number 84.421B, must be submitted electronically using the Governmentwide *Grants.gov* Apply site at [*www.Grants.gov*](http://www.Grants.gov)*.* Through this site, you will be able to download a copy of the application package, complete it offline, and then upload and submit your application. You may not email an electronic copy of a grant application to us.

We will reject your application if you submit it in paper format unless, as described elsewhere in this section, you qualify for one of the exceptions to the electronic submission requirement *and* submit, no later than two weeks before the application deadline date, a written statement to the Department that you qualify for one of these exceptions. Further information regarding calculation of the date that is two weeks before the application deadline date is provided later in this section under *Exception to Electronic Submission Requirement.* **[\*50489]**

You may access the electronic grant application for the Rehabilitation Services Administration--Disability Innovation Fund--Transition Work-Based Learning Model Demonstrations at [*www.Grants.gov*](http://www.Grants.gov)*.* You must search for the downloadable application package for this ***competition*** by the CFDA number. Do not include the CFDA number's alpha suffix in your search (*e.g.,* search for 84.421, not 84.421B).

Please note the following:

* When you enter the *Grants.gov* site, you will find information about submitting an application electronically through the site, as well as the hours of operation.

1. Applications received by *Grants.gov* are date and time stamped. Your application must be fully uploaded and submitted and must be date and time stamped by the *Grants.gov* system no later than 4:30:00 p.m., Washington, DC time, on the application deadline date. Except as otherwise noted in this section, we will not accept your application if it is received--that is, date and time stamped by the *Grants.gov* system--after 4:30:00 p.m., Washington, DC time, on the application deadline date. We do not consider an application that does not comply with the deadline requirements. When we retrieve your application from *Grants.gov*, we will notify you if we are rejecting your application because it was date and time stamped by the *Grants.gov* system after 4:30:00 p.m., Washington, DC time, on the application deadline date.
2. The amount of time it can take to upload an application will vary depending on a variety of factors, including the size of the application and the speed of your Internet connection. Therefore, we strongly recommend that you do not wait until the application deadline date to begin the submission process through *Grants.gov*.
3. You should review and follow the Education Submission Procedures for submitting an application through *Grants.gov* that are included in the application package for this ***competition*** to ensure that you submit your application in a timely manner to the *Grants.gov* system. You can also find the Education Submission Procedures pertaining to *Grants.gov* under News and Events on the Department's G5 system home page at [*www.G5.gov*](http://www.G5.gov)*.* In addition, for specific guidance and procedures for submitting an application through *Grants.gov*, please refer to the *Grants.gov* Web site at: [*www.grants.gov/web/grants/applicants/apply-for-grants.html*](http://www.grants.gov/web/grants/applicants/apply-for-grants.html)*.*
4. You will not receive additional point value because you submit your application in electronic format, nor will we penalize you if you qualify for an exception to the electronic submission requirement, as described elsewhere in this section, and submit your application in paper format.
5. You must submit all documents electronically, including all information you typically provide on the following forms: The Application for Federal Assistance (SF 424), the Department of Education Supplemental Information for SF 424, Budget Information--Non-Construction Programs (ED 524), and all necessary assurances and certifications.
6. You must upload any narrative sections and all other attachments to your application as files in a read-only, non-modifiable Portable Document Format (PDF). Do not upload an interactive or fillable PDF file. If you upload a file type other than a read-only, non-modifiable PDF (*e.g.,* Word, Excel, WordPerfect, etc.) or submit a password-protected file, we will not review that material. Please note that this could result in your application not being considered for funding because the material in question--for example, the application narrative--is critical to a meaningful review of your proposal. For that reason, it is important to allow yourself adequate time to upload all material as PDF files. The Department will not convert material from other formats to PDF.
7. Your electronic application must comply with any page-limit requirements described in this notice.
8. After you electronically submit your application, you will receive from *Grants.gov* an automatic notification of receipt that contains a *Grants.gov* tracking number. This notification indicates receipt by *Grants.gov* only, not receipt by the Department. *Grants.gov* will also notify you automatically by email if your application met all the *Grants.gov* validation requirements or if there were any errors (such as submission of your application by someone other than a registered Authorized Organization Representative or inclusion of an attachment with a file name that contains special characters). You will be given an opportunity to correct any errors and resubmit, but you must still meet the deadline for submission of applications.

Once your application is successfully validated by *Grants.gov*, the Department will retrieve your application from *Grants.gov* and send you an email with a unique PR/Award number for your application.

These emails do not mean that your application is without any disqualifying errors. While your application may have been successfully validated by *Grants.gov*, it must also meet the Department's application requirements as specified in this notice and in the application instructions. Disqualifying errors could include, for instance, failure to upload attachments in a read-only, non-modifiable PDF; failure to submit a required part of the application; or failure to meet applicant eligibility requirements. It is your responsibility to ensure that your submitted application has met all of the Department's requirements.

* We may request that you provide us original signatures on forms at a later date.

*Application Deadline Date Extension in Case of Technical Issues with the Grants.gov System:* If you are experiencing problems submitting your application through *Grants.gov*, please contact the *Grants.gov* Support Desk, toll free, at 1-800-518-4726. You must obtain a *Grants.gov* Support Desk Case Number and must keep a record of it.

If you are prevented from electronically submitting your application on the application deadline date because of technical problems with the *Grants.gov* system, we will grant you an extension until 4:30:00 p.m., Washington, DC time, the following business day to enable you to transmit your application electronically or by hand delivery. You also may mail your application by following the mailing instructions described elsewhere in this notice.

If you submit an application after 4:30:00 p.m., Washington, DC time, on the application deadline date, please contact the person listed under **For Further Information Contact** in section VII of this notice and provide an explanation of the technical problem you experienced with *Grants.gov*, along with the *Grants.gov* Support Desk Case Number. We will accept your application if we can confirm that a technical problem occurred with the *Grants.gov* system and that the problem affected your ability to submit your application by 4:30:00 p.m., Washington, DC time, on the application deadline date. We will contact you after we determine whether your application will be accepted.

**Note:** The extensions to which we refer in this section apply only to the unavailability of, or technical problems with, the *Grants.gov* system. We will not grant you an extension if you failed to fully register to submit your application to *Grants.gov* before the application deadline date and time or if the technical problem you experienced is unrelated to the *Grants.gov* system.

*Exception to Electronic Submission Requirement:* You qualify for an exception to the electronic submission **[\*50490]** requirement, and may submit your application in paper format, if you are unable to submit an application through the *Grants.gov* system because--

* You do not have access to the Internet; or

1. You do not have the capacity to upload large documents to the *Grants.gov* system;

*and*

* No later than two weeks before the application deadline date (14 calendar days or, if the fourteenth calendar day before the application deadline date falls on a Federal holiday, the next business day following the Federal holiday), you mail or fax a written statement to the Department, explaining which of the two grounds for an exception prevents you from using the Internet to submit your application.

If you mail your written statement to the Department, it must be postmarked no later than two weeks before the application deadline date. If you fax your written statement to the Department, we must receive the faxed statement no later than two weeks before the application deadline date.

Address and mail or fax your statement to: RoseAnn Ashby, U.S. Department of Education, Rehabilitation Services Administration, 400 Maryland Avenue SW., Room 5057, Potomac Center Plaza (PCP), Washington, DC 20202-2800. FAX: (202) 245-7593.

Your paper application must be submitted in accordance with the mail or hand-delivery instructions described in this notice.

b. *Submission of Paper Applications by Mail.*

If you qualify for an exception to the electronic submission requirement, you may mail (through the U.S. Postal Service or a commercial carrier) your application to the Department. You must mail the original and two copies of your application, on or before the application deadline date, to the Department at the following address: U.S. Department of Education, Application Control Center, Attention: (CFDA Number 84.421B), LBJ Basement Level 1, 400 Maryland Avenue SW., Washington, DC 20202-4260.

You must show proof of mailing consisting of one of the following:

(1) A legibly dated U.S. Postal Service postmark.

(2) A legible mail receipt with the date of mailing stamped by the U.S. Postal Service.

(3) A dated shipping label, invoice, or receipt from a commercial carrier.

(4) Any other proof of mailing acceptable to the Secretary of the U.S. Department of Education.

If you mail your application through the U.S. Postal Service, we do not accept either of the following as proof of mailing:

(1) A private metered postmark.

(2) A mail receipt that is not dated by the U.S. Postal Service.

**Note:** The U.S. Postal Service does not uniformly provide a dated postmark. Before relying on this method, you should check with your local post office.

We will not consider applications postmarked after the application deadline date.

c. *Submission of Paper Applications by Hand Delivery.*

If you qualify for an exception to the electronic submission requirement, you (or a courier service) may deliver your paper application to the Department by hand. You must deliver the original and two copies of your application by hand, on or before the application deadline date, to the Department at the following address: U.S. Department of Education, Application Control Center, Attention: (CFDA Number 84.421B), 550 12th Street SW., Room 7039, Potomac Center Plaza, Washington, DC 20202-4260.

The Application Control Center accepts hand deliveries daily between 8:00 a.m. and 4:30:00 p.m., Washington, DC time, except Saturdays, Sundays, and Federal holidays.

*Note for Mail or Hand Delivery of Paper Applications:* If you mail or hand deliver your application to the Department--

(1) You must indicate on the envelope and--if not provided by the Department--in Item 11 of the SF 424 the CFDA number, including suffix letter, if any, of the ***competition*** under which you are submitting your application; and

(2) The Application Control Center will mail to you a notification of receipt of your grant application. If you do not receive this notification within 15 business days from the application deadline date, you should call the U.S. Department of Education Application Control Center at (202) 245-6288.

**V. Application Review Information**

1. *Selection Criteria:* The selection criteria for this ***competition*** are from 34 CFR 75.210 and 34 CFR 386.20 and are listed in the application package.

2. *Review and Selection Process:* We remind potential applicants that in reviewing applications in any discretionary grant ***competition***, the Secretary may consider, under 34 CFR 75.217(d)(3), the past performance of the applicant in carrying out a previous award, such as the applicant's use of funds, achievement of project objectives, and compliance with grant conditions. The Secretary may also consider whether the applicant failed to submit a timely performance report or submitted a report of unacceptable quality.

In addition, in making a ***competitive*** grant award, the Secretary requires various assurances including those applicable to Federal civil rights laws that prohibit discrimination in programs or activities receiving Federal financial assistance from the Department of Education (34 CFR 100.4, 104.5, 106.4, 108.8, and 110.23).

3. *Risk Assessment and Special Conditions:* Consistent with 2 CFR 200.205, before awarding grants under this ***competition*** the Department conducts a review of the risks posed by applicants. Under 2 CFR 3474.10, the Secretary may impose special conditions and, in appropriate circumstances, high-risk conditions on a grant if the applicant or grantee is not financially stable; has a history of unsatisfactory performance; has a financial or other management system that does not meet the standards in 2 CFR part 200, subpart D; has not fulfilled the conditions of a prior grant; or is otherwise not responsible.

**VI. Award Administration Information**

1. *Award Notices:* If your application is successful, we notify your U.S. Representative and U.S. Senators and send you a Grant Award Notification (GAN); or we may send you an email containing a link to access an electronic version of your GAN. We may notify you informally, also.

If your application is not evaluated or not selected for funding, we notify you.

2. *Administrative and National Policy Requirements:* We identify administrative and national policy requirements in the application package and reference these and other requirements in the *Applicable* ***Regulations*** section of this notice.

We reference the ***regulations*** outlining the terms and conditions of an award in the *Applicable* ***Regulations*** section of this notice and include these and other specific conditions in the GAN. The GAN also incorporates your approved application as part of your binding commitments under the grant.

3. *Reporting:* (a) If you apply for a grant under this ***competition***, you must ensure that you have in place the necessary processes and systems to comply with the reporting requirements in 2 CFR part 170 should you receive funding under the ***competition***. This does not apply if you have an exception under 2 CFR 170.110(b). **[\*50491]**

(b) At the end of your project period, you must submit a final performance report, including financial information, as directed by the Secretary. If you receive a multiyear award, you must submit an annual performance report that provides the most current performance and financial expenditure information as directed by the Secretary under 34 CFR 75.118. The Secretary may also require more frequent performance reports under 34 CFR 75.720(c). For specific requirements on reporting, please go to [*www.ed.gov/fund/grant/apply/appforms/appforms.html*](http://www.ed.gov/fund/grant/apply/appforms/appforms.html)*.*

(c) Under 34 CFR 75.250(b), the Secretary may provide a grantee with additional funding for data collection analysis and reporting. In this case the Secretary establishes a data collection period.

4. *Performance Measures:* The Government Performance and Results Act of 1993 directs Federal departments and agencies to improve the effectiveness of programs by engaging in strategic planning, setting outcome-related goals for programs, and measuring program results against these goals.

The goal of the Transition Work-Based Learning Model Demonstration is to identify and demonstrate practices, which are supported by evidence, in providing work-based learning experiences in integrated settings under the VR program, in collaboration with State and local educational agencies, and other key partners within the local community, to improve post-school outcomes for students with disabilities. Such practices must be supported by strong theory and rigorously evaluated. Under the absolute priority, grant recipients are required to develop and implement a plan to measure the model demonstration project's performance and outcomes, including an evaluation of the practices and strategies implemented by the project. The cooperative agreement will specify the measures that will be used to assess the grantees' performance in achieving the goals and objectives of the ***competition***, including the extent to which:

* Project participants successfully complete at least two work-based learning experiences, including one paid work experience;

1. Participation in the project demonstrates that work-based learning experiences have contributed to student academic and career planning;
2. Project participants enroll in postsecondary education or training; and
3. Project participants obtain ***competitive*** integrated employment, including supported employment.

In its annual and final performance report to the Department, grant recipients will be expected to report the data specified in the absolute priority described in this notice and any additional data outlined in the cooperative agreement that is needed to assess its project's performance. The cooperative agreement and annual report will be reviewed by RSA and the grant recipient between the third and fourth quarter of each project period. Adjustments will be made to the project accordingly in order to ensure demonstrated progress towards meeting the goal and outcomes of the project.

5. *Continuation Awards:* In making a continuation award under 34 CFR 75.253, the Secretary considers, among other things: Whether a grantee has made substantial progress in achieving the goals and objectives of the project; whether the grantee has expended funds in a manner that is consistent with its approved application and budget; and, if the Secretary has established performance measurement requirements, the performance targets in the grantee's approved application.

In making a continuation award, the Secretary also considers whether the grantee is operating in compliance with the assurances in its approved application, including those applicable to Federal civil rights laws that prohibit discrimination in programs or activities receiving Federal financial assistance from the Department (34 CFR 100.4, 104.5, 106.4, 108.8, and 110.23).

**VII. Agency Contact**

**Contacts**

**FOR FURTHER INFORMATION CONTACT:** RoseAnn Ashby, U.S. Department of Education, Rehabilitation Services Administration, 400 Maryland Avenue SW., Room 5057, PCP, Washington, DC 20202-5076. Telephone: (202) 245-7258, or by email: [*roseann.ashby@ed.gov*](mailto:roseann.ashby@ed.gov)*.*

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